

# **JIIT Noida**

# Performance Appraisal: Teaching & Non-Teaching Staff

- An objective performance management system has been implemented in the Institute. The
  evaluation system assesses the employee's performance vis-à-vis the roles and
  responsibilities assigned. The employee evaluation is carried out on an Annual basis or
  when an employee is due for confirmation and completion of probationary period or such
  other occasion as deemed necessary.
- 2. Performance Appraisal is a main basis for promotion and extension of contracts and it is therefore, essential that the reports initiated and reviewed are objective and substantiated with facts.
- 3. The period of appraisal shall be 01 July (previous year) to 30 June (current year) i.e. Academic Year.
- 4. The forms for performance appraisal of faculty are as follows:
  - (a) Appendix-1: Application form for Career Advancement Scheme (CAS).
  - (b) Appnedix-2: Form for Annual Assessment of faculty.
  - (c) Appnedix-3:Instructions for filling Annual Assessment form.
  - (d) Appnedix-4: Eligibility criteria for promotion under CAS.
- 5. The forms for performance appraisal of Non-Teaching staff are as follows:
  - (a) Form-1: Annual Performance Appraisal Form (APAR) for Grade NT-1 & NT-2.
  - (b) Form-2: APAR for Grade NT-3& NT-7.
  - (c) Form-3: APAR for Grade NT-8.
- The forms shall be reviewed latest by 31 July and must reach the Registrar's Office for records latest by 15 August.

(Registrar)
JIIT Noida

#### JAYPEE INSTITUTE OF INFORMATION TECHNOLOGY, NOIDA

	Application for	Career Advancement S	cheme (CA	AS)
	Promotion to the Post of	i	n	_ Department.
1	Notification No. & Date		Date of	of Application
2	Emp CodeName	Date of Birth	Present	Post

S.N.   Item   Details to be filled by Applicant   Verification by HoD   Review	
Bighest   Preceding   Preced	ver Remarks
Preceding   Preceding   Preceding   Preceding   Preceding   Preceding   Protein Post   Total Teaching   Exclusive Industrial / Research   Publications   Exclusive Industrial / Research   Publications   Indexed in   Papers in Journals   Books   Book Chapters   Articles Monographs / Technical Reports   Ph. D. (Sole Guidance )   Ph. D. (Joint G	
Experience	
In present Post   Total Teaching   Exclusive industrial / Research	
Total Teaching Exclusive Industrial / Research Research Research  API Score for Last three years  Publications Indexed in  Scopus SCI Google Scholar  Papers in Journals Book S Book Chapters Articles / Monographs / Technical Reports Research Guidance Ph. D. (Sole Guidance) M. Tech. (Sole Guidance) M. Tech. (Sole Guidance) M. Tech. (Sole Guidance) M. Tech. (Sole Guidance) No. of Projects(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Sing	
Exclusive Industrial / Research  API Score for Last three years  Papers in Journals  Books  Book Chapters  Articles /Monographs / Technical Reports  Research Guidance  Ph. D. (Sole Guidance)  Ph. D. (Joint Guidance)  M. Tech. (Sole Guidance)  M. Tech. (Joint Guidance)  Pool of Projects (Single PI)  Value in Lacs(Single PI)  Value in Lacs(Value in Lacs(Value in Lacs(Value in Lacs(	
6 API Score for Last three years  Publications  Scopus SCI  Papers in Journals Books Book Chapters Articles /Monographs /Technical Reports  Research Guidance Ph. D. (Sole Guidance) Ph. D. (Joint Guidance) Ph. D. (Joint Guidance) M. Tech. (Soler Guidance) M. Tech. (Goint Guidance) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI) Value in	
years    Papers in Journals   Scopus   SCI   Google Scholar	
Publications	
Papers in Journals   Books   Book Chapters   Articles /Monographs   Trechnical Reports   Articles /Monographs   A	
Papers in Journals Books Book Chapters Articles /Monographs /Technical Reports  8 Research Guidance Ph. D. ( Soile Guidance) Ph. D. ( Joint Guidance) M. Tech. (Sole Guidance) M. Tech. (Joint Guidance)	
Books Book Chapters Articles /Monographs Technical Reports  Research Guidance Ph. D. ( Sole Guidance) Ph. D. ( Joint Guidance) M.Tech. (Sole Guidance) M.Tech. (Sole Guidance) M.Tech. (Joint Guidance) M.Tech. (Joint Guidance) No. of Projects(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) No. of Projects(Single PI) No. of Projects(Single PI) No. of Projects(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI) Value in Lacs(Joint PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Nos. Organized Workshops/ Seminars  Nos. Organized Nos. Participated Workshops/ Seminars  Nos. Organized Vos. Participated Vos. Participated Contribution to Departmental Activities  Departmental Activities  Contribution to Students Activities	
Book Chapters Articles /Monographs /Technical Reports  Research Guidance Ph. D. (Sole Guidance) Ph. D. (Joint Guidance) M. Tech. (Sole Guidance) No. of Projects (Single PI) No. of Projects (Single PI) Value in Lacs (Single PI) Value in Lacs (Single PI) No. of Projects (Single PI) No. of Projects (Single PI) Value in Lacs (Solint PI)  10 Consultancy Projects No. of Projects (Single PI) Value in Lacs (Single PI	
Articles /Monographs / Technical Reports	
Technical Reports   Completed   On-going   Ph. D. (Sole Guidance)   Ph. D. (Joint Guidance)   Ph. D. (Joint Guidance)   M.Tech. (Sole Guidance)   M.Tech. (Sole Guidance)   Ph. D. (Joint Guidance)	
Research Guidance   Ph. D. (Sole Guidance )   Ph. D. (Joint Projects (Joint Pl)   Ph. D. (Joint Lacs(Joint Pl)   Ph. D. (Joint Guidance )   Ph. D. (Joint	
Ph. D. ( Sole Guidance ) Ph. D. ( Joint Guidance ) M. Tech. (Sole Guidance ) M. Tech. (Sole Guidance ) M. Tech. (Joint Guidance ) M. Tech. (Joint Guidance )  9 Funded Research Project Completed On-going No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Single PI) No. of Projects Completed On-going No. of Projects(Single PI) No. of Projects(Single PI) Value in Lacs(Joint PI) Value in Lacs(Single PI) Value in Lacs(Joint PI) Value in Lacs(Joint PI) Value in Lacs(Joint PI) Value in Lacs(Joint PI) Nos. Organized Nos. Participated Week Duration  Nos. Organized Nos. Participated Workshops/ Seminars  Nos. Organized Nos. Participated Contribution to Departmental Activities  15 Contribution to Students Activities	
Ph. D. ( Joint Guidance ) M.Tech. (Sole Guidance) M.Tech. (Joint Guidance)  9 Funded Research Project Completed On-going No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  Nos. Organized Workshops/ Seminars  Nos. Organized Nos. Participated  Vorkshops/ Seminars	
M.Tech. (Sole Guidance) M.Tech. (Joint Guidance)  9 Funded Research Project Completed On-going No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  10 Consultancy Projects No. of Projects(Single PI) No. of Projects(Single PI) No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  Nos. Organized Nos. Participated Workshops/ Seminars  Nos. Organized Nos. Participated Contribution to Departmental Activities  15 Contribution to Students Activities	
M.Tech. (Joint Guidance)  9 Funded Research Project Completed On-going No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) No. of Projects(Single PI) Value in Lacs(Joint PI)  10 Consultancy Projects Completed On-going No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  Nos. Organized Nos. Participated Workshops/ Seminars  Nos. Organized Nos. Participated Contribution to Departmental Activities  15 Contribution to Students Activities	
Funded Research Project   Completed   On-going   No. of Projects(Single PI)   No. of Projects(Joint PI)   Value in Lacs(Single PI)   Value in Lacs(Joint PI)   Value in Lacs(Joint PI)   Oconsultancy Projects   Completed   On-going   No. of Projects(Single PI)   No. of Projects(Joint PI)   Value in Lacs(Single PI)   Value in Lacs(Single PI)   Value in Lacs(Single PI)   Value in Lacs(Joint PI)   Value in Lacs(Joint PI)   Value in Lacs(Joint PI)   One week Duration   Nos. Organized   Nos. Participated   Nos. Participated   Nos. Participated   Value in Lacs(Joint PI)   One participated   Nos. Organized   One participated   One particip	
No. of Projects(Single PI)   No. of Projects(Joint PI)   Value in Lacs(Single PI)   Value in Lacs(Joint PI)	
No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  10 Consultancy Projects Completed On-going No. of Projects(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  12 Conferences/ Workshops/ Seminars  Nos. Organized Nos. Participated  Nos. Participated  Nos. Participated  Contribution to Departmental Activities  15 Contribution to Students Activities	
Value in Lacs(Single PI)   Value in Lacs(Joint PI)	
Value in Lacs(Joint PI)   Consultancy Projects   Completed   On-going	
Consultancy Projects   Completed   On-going	
No. of Projects(Single PI) No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  Nos. Organized Workshops/ Seminars  Nos. Organized Nos. Participated  Nos. Participated  Conferences/ Workshops/ Seminars  Nos. Organized Nos. Participated  Contribution to Departmental Activities  15 Contribution to Students Activities	
No. of Projects(Joint PI) Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  12 Conferences/ Workshops/ Seminars  13 Membership of Professional Bodies  14 Contribution to Departmental Activities  15 Contribution to Students Activities	
Value in Lacs(Single PI) Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  12 Conferences/ Workshops/ Seminars  13 Membership of Professional Bodies  14 Contribution to Departmental Activities  15 Contribution to Students Activities	
Value in Lacs(Joint PI)  11 FDP's of at least One Week Duration  12 Conferences/ Workshops/ Seminars  13 Membership of Professional Bodies  14 Contribution to Departmental Activities  15 Contribution to Students Activities	
11 FDP's of at least One Week Duration	
Week Duration    12   Conferences/ Workshops/ Seminars   Nos. Organized   Nos. Participated     13   Membership of Professional Bodies   Professional Bodies     14   Contribution to Departmental Activities   Departmental Activities     15   Contribution to Students Activities   Activities   Activities     16	
Workshops/ Seminars  13 Membership of Professional Bodies  14 Contribution to Departmental Activities  15 Contribution to Students Activities	
Professional Bodies  14 Contribution to Departmental Activities  15 Contribution to Students Activities	
Departmental Activities  15 Contribution to Students Activities	
Activities	
16   Contribution to	
Institutional Activities	
17 No. of Invited Talks/Lectures delivered outside	
18 Syllabi Developed	
19 Laboratories Developed	
20 Involvement in Institute/	
Department Policy Framing	

#### NOTES

- 1. Give Data in summary sheet only for the period of Present Post held.
- 2. In this sheet give nos. only. Complete details be included in Bio-Data.
- 3. Attach detailed Bio-Data.
- 4. Attach self attested copies in support of qualifications and experience.
- 5. Attach full copies of upto FIVE best Journal Papers published while in present position.

# JAYPEE INSTITUTE OF INFORMATION TECHNOLOGY, NOIDA Annual Assessment - Faculty

For Academic Year 20\_\_- 22\_\_

1. Name	e	2. Present Designation						
3. Quali	ifications	4. Department						
	ute Joining Date							
7. Prese	ent Pay Scale & Pay							
	of Specialization and Current I							
9. Addi	tional Qualification Acquired d	uring the ye	ear ( Give full details )					
10. Purs	suing Higher Studies ( Give full	details)_						
	ientation/Refresher Courses, S		•	velopment Prog	grammes,			
Semina	rs/Conferences/Workshops Atte							
S.No.	Title	Dates/ Duration	Sponsoring Agency and Organisation & Place held		HOD Remar ks			
1								
2								
3								

# 12. Teaching, Learning and Evaluation Activities:

12.1 Lectures/Tutorials/Practicals/Projects/Seminars Conducted:

(A) ODD SEMESTER Aug 2021 To Jan 2022

(1-)	ODD SEIVE	DILK Mug 2021 10 Jun 20		1		ı	
S.	Course	Course Title	Contact	Total No.	of Hours	Self	HOD
No.	Code		Hours/	Classes in S	Semester	Assessed	Remarks
			Week			API	
						Score	
				Scheduled	Engaged		
1							
2							
3							
TOT	AL	,					
Clas	ses Allotted	/ Scheduled and Engaged in					
exce	ss of norms						

# (B) EVEN SEMESTER Feb 2022 To June 2022

S.	Course	Course Title	Contact	Total No.	of Hours	Self	HOD
No.	Code		Hours/	Classes in S	Semester	Assessed	Remarks
			Week			API	
					T =	Score	
				Scheduled	Engaged		
1							
2							
3							
TOT	AL	1					
Classes Allotted/ Scheduled and Engaged in							
exce	ss of norms						

# 12.2 Reading / Instructional Material Consulted AND additional Knowledge Resources provided to Students:

S.	Course	Knowledge Reso	urces	Additional	Self	HOD
No.	Code	Consulted	Prescribed	Resources Provided	Assessed API Score	Remarks
1				Tiovided	7 H T Score	
2					-	
3					_	
4						
5					_	
6	Participator Teaching Methodolog brief details	Learning gies used ( give				
7	Any Modif	ication/ Addition s ( give brief				
8		age/ Introduction abus ( give brief				

# 12.3 Project Guidance at UG level:

	HOD Remarks
Number of Projects Guided	
Number of Students Guided	

# 12.4 Examination and Evaluation Duties:

S.	Activity	Class	T1	T2	T3
No.					
1	No. of Q. Papers Set	UG			
		PG			
2	No. of A/B Evaluated	UG			
		PG			
3	No. Of Students Examined through	UG			
	Practical/ Seminar/ Project Examination	PG			
4	No. of Examination Invigilation Duties	Allotted			
		Performed			
	Self Assessed API Score				
	HOD Remarks				

(1	R)	C	ontribution	/ Partic	ination	in $\Gamma$	Departmental	Activitie	8 2	Develo	nment
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(C) Contribution/ Participation in Institute Activities & Development:

(D) Special/Extension/Expert/Invited Lectures Delivered, Give Details:

(E) Articles, Monographs, Technical Reports, Reviews Written, Give Details:

S.	Names	of A	All	Title and Complete Reference in IEEE Style	Indexed	Type of
No.	Authors		in		in	Publication/
	Order	as	in			Conference
	Publication	on				etc.*
1						
2						
3						
4						
5						
6						
Self Score	Assessed	API		HOD Remarks		

# 14. Research Papers Published/ Presented:

# 15. Books, Chapters in Books Written:

S.	Names of	f All	Title	and Complete Ref	ference in IEEE Style	Type	of
No.	Authors	in				Publicati	on
	Order as	s in				(B- Bo	ok
	Publication	1				C-Chapte	er)
1							
2							
3							
Self	Assessed A	PI		HOD Remark		•	
Scor	e						

16. Research Projects and Consultancy Works:

S.	Title of I	Research	Details of Sponsoring	Duration,		Amount	Chief or Co
No.	Project/ Con	sultancy	Agency	Sanction		Sanctioned	Investigator
	Work			Date	&		Specify
				Status			
1							
2							
	_						
Self	Assessed API		HOD Remark				
Scor	e						

<sup>\*</sup> Use following Code:- **IJ**- International Journal, **NJ**- National Journal, **OJ**- Neither Int. nor National Journal, **IC**- Proc. Of International Conference/Seminar etc., **NC**- Proc. of National Conference/Seminar etc., **LC**- Proc. of Regional/Local/Other Conference/Seminar etc., **PN**- Presented but NOT Published, **OA**- only Abstract Published.

#### 17. Research Guidance:

S.	Enrol. No. & Name	Title of	Thesis/	Names of	Level (P	Status (
No.	of the Student	Dissertation/ Project	t	Joint	Hd/M	Completed/
				Supervisors	Tech/ DD/	Ongoing )
					M Phil/	
					MS)	
1						
2						
3						
4						
Self A Score	Assessed API	HOD Remarks		I		

18.	Μ	eml	oersl	hip	of	Prof	fession	al	Boo	lies	N	lational	/ .	International	l C	Commi	ttees:
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19. Any Other Information:

20. List of Enclosures:

Certified that the above data is correct and I shall be responsible for any inaccurate/incorrect data and shall be liable for suitable action for the same, as decided by the authorities.

# JAYPEE INSTITUTE OF INFORMATION TECHNOLOGY, NOIDA Annual Assessment of Faculty

For Year 20\_\_ - \_\_

Name	Designation
Department	
Overall Remarks of HOD: Total API Sco.	re as verified by me is
(Signature of HOD with Date)	
Overall Remarks of Dean Academic & Re	search, including students Feedback:
(Signature of Dean A & R)	
Remarks of Vice Chancellor:	
(Signature of VC)	
Remarks of Pro- Chancellor:	
(Signature of PC)	

#### JIIT NOIDA

#### **Instructions for filling up Annual Assessment Form**

- 1. Annual Assessment form is to be filled by each faculty member once annually covering the period from 1<sup>st</sup> July of previous year to 30<sup>th</sup> June of current year.
- 2. For AY 2021-22, the formhas to be submitted to HoD latest by 31 July of the current year.
- 3. HoD will complete their part on the form and forward the same to Dean (A&R) by 10 Aug.
- 4. Dean (Academics & Research) will put his observation on each Form and forward to VC.
- 5. VC will review each faculty's performance put his remarks and in case of undesirable or below par performance the faculty will be suitably, advised by VC personally and intimated the same in writing.

#### **Instructions for Faculty**

- Faculty may maintain a record of all their academic and co-curricular activities
  throughout the year, so that the data and information is readily available while filling
  up the Self Assessment Form. This is also an opportunity for self introspection and
  corrective action for the benefit of one self and Institute.
- 2. **Item 1 to 10** fill the data required.
- 3. **Item 11** in column attended / organized fill as applicable, in case organized then indicate position in organizing committee/setup. In case of course/program attended award 1, 3 or 5 API points for less than one week, one week and two or more than two weeks programs respectively. In case of programs organized award 5, 10, 20 API points for programs of less than one week, one week and two or more than two weeks duration and add 5 API points in case of Chief/Principal Organizer. In case of Seminars/Conferences/Workshops attended without any presentation award 2 API points for each program subject to a maximum of 5 API points, in case organized award 5,10,20 API points for programs of one day, two/three days, more than three days duration and add 5 API points in case of Chief/Principal Organizer.

#### 4. Item 12 -

12.1. (A) & (B) Maximum API score for each will be 30 which will be broken into two components of 25 and 5. 25 Score is to be allotted if 95% or more classes are engaged, 15 if 80% classes are engaged and 0 if less than 80% classes are engaged. In case classes engaged are between 80% & 95% then

API score may linearly vary between 15 and 25. API score of 5 be allowed if classes engaged are in excess of norms / schedule.

12.2 – API score out of 10 be allotted for each of the sub-components viz. knowledge resources consulted, prescribed, additional resources, participative ....., Modification...., courage beyond syllabus. However, maximum total API score for this component (12.2) will be limited to 35.

12.3 & 12.4 – API score for 12.3 and each subcomponent of 12.4 shall be 10. However, API score for 12.3 & 12.4 combined will be limited to 30.

#### 5. **Item 13** – Total API score for this Item will be clipped at 60.

13(A). 5 API points for each activity, 10 API points instead of 5 if played a lead role, subject to a maximum of 20.

13(B). 5 API points for each activity in the capacity of Incharge/Chairman, 3 API points in the capacity of member, subject to a maximum of 20 API points.

13(C). 10 API points for each position Director, Dean, HOD, Time Table Incharge, Incharge Training & Placement, Chairman of Institution Level Committee, any other Similar level position. 5 API points for each Membership of Institution Level Committee or Individual Responsibility Assigned at Institutional Level, Subject to a maximum of 20 API points.

13(D). 10 API points each for out side Institute, 5 API points each for with in Institute, subject to a maximum of 20 API points.

13(E). 1/2/3 API points each based on level, quality and effort involved, subject to a maximum of 10 API points.

#### 6. **Item 14** –

Pub.	IJ	NJ	OJ	IC	NC	LC	PN	OA
Code								
API	15	10	7 if	10	08	06	04	02
Points			ISBN/ISSN					
for			Number 3					
Each			Otherwise					

#### (a) Augment above scores as under:

Indexed Journals – 5 points, Impact Factor between 1 & 2 – 10 points, Impact Factor between 2 & 5 – 15 points, Impact Factor >5 – 25 points.

(b) For Joint Publications distribute API points as under:

First/Principal Author and Corresponding Author/Supervisor/Mentor would share equally 60% points and remaining 40 % points would be shared equally by all other authors.

"In case API points for each author under 60% categories are less than that for an author under 40% categories, then API points will be distributed equally among all authors of the paper." (Added 21.07.2014)

#### 7. Item 15 -

Published by International Publisher after	50 per book
Peer Review	
Published by National Publisher with ISBN/ISSN number	25 per book
Published by Local Publisher with ISBN/ISSN number	15 per book
Chapter in any of the above categories	20% of the category per chapter

In case of jointly authored books:

- (a) Two Authors 60% to First/Principal Author and remaining 40% to the other author.
- (b) More than Two Authors 40% to First/Principal Author and remaining 60% to be shared equally all the other authors.

#### 8. Item 16 -

- (a) Sponsored Research Projects with grants of Rs. 10 lakhs or more, Rs. 3 lakhs in case of HSS & Management, 20 API points for each project.
- (b) Sponsored Research Projects with grants between Rs. 4 to 10 lakhs, Rs. 1 to 3 lakhs in case of HSS & Management, 15 API points for each project.
- (c) Sponsored Research Projects with grants between Rs. 0.5 to 4 lakhs, Rs. 0.25 to 1 lakhs in case of HSS & Management, 10 API points for each project.
- (d) For Consultancy Projects apply (a), (b) & (c) above with amount and API points reduced to 50% level.
- (e) In case of Joint projects share API points as in case of jointly authored books.

#### 9. **Item 17** –

- (a) M.Tech./M.Phil./D.D./M.S. degree awarded only 5 API points per candidate.
- (b) Ph.D. degree awarded 10 API points per candidate.
- (c) Ph.D. Thesis submitted 7 API points per candidate.
- (d) On going Ph.D. for more than six months 3 API points per candidate.
- (e) In case of joint guidance share API points as in case of jointly authored books.
- 10. **Item 18** 7 API points for each membership, 10 API points for each Chairmanship, subject to a maximum of 20 API points.
- 11. Item 19 API points may be awarded keeping in view importance, novelty, effort, uniqueness etc. up to a maximum of 30 API points. However, if a Patent/Technology Transfer/ Product/ Process has been obtained/ developed then 30 API points for each National level output or 50 API points for each International level output be awarded.

#### **Instructions for HOD:**

HOD will verify the API scores as per API scheme given above and the activity, change self assessed API scores if deemed fit and put their remarks on each item. The overall remarks of HOD should include comments on ability, willingness and efforts to keep abreast of advancements and diversifications and student handling and satisfaction level as also initiatives for development and advancement of department, active participation in departmental activities.

#### **NOTE**

- (i) Research Papers Published in SCI/SCIE/SSCI/Scopus Indexed Journals will only be considered as Quality Research Papers for consideration in this document.
- (ii) One Patent will be considered equivalent to three SCI/SCIE/SSCI Indexed Quality Research Papers.

#### Minimum Eligibility Criteria for promotions to different positions under CAS for teachers

#### **Professor**

- 1. PhD with first division in the preceding degree and throughout a good academic record.
- 2. Teaching/Research experience of 12 years, out of which, a minimum of 5 years experience as Associate Professor at University level.
- 3. At least seven quality research papers either as first author or second author after becoming Associate Professor.
- 4. Should have guided at least two PhDs as first supervisor after becoming Associate Professor. *In case of joint supervision, weightage for each PhD will be equally divided among the supervisors.*
- 5. (a) Sponsored Research Project(s) completed/ in progress as Principal Investigator of Rs.30 lakh or more in case of Biotech and PMSE Departments and of Rs.15 lakh or more in case of other departments.
  - (b) In case, even after sufficient efforts were made in obtaining the Sponsored Research Project and success could not be achieved, then five SCI/SCIE/SSCI Indexed quality research papers as sole (single) author in lieu of the sponsored research project(s) requirement. This provision of 5(b) will be for other Departments and not for Biotech and PMSE Departments.
- 6. (a) Average API score of 250 or above of the last three years at Associate Professor level.
  - (b) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

#### Associate Professor

- PhD with first division in the preceding degree and throughout a good academic record.
- 2. Teaching/Research experience of 8 years, out of which, a minimum of 4 years experience as Assistant Professor (Sr.Grade) at University level.
- 3. At least five quality research papers either as first and second author after becoming Assistant Professor (Sr. Grade).
- 4. Should have guided at least one PhD as first supervisor after becoming Assistant Professor (Sr. Grade). In case of joint supervision, weightage for each PhD will be equally divided among the supervisors.
- 5. (a) Sponsored Research Project(s) completed/ in progress as Principal Investigator of Rs.20 lakh or more in case of Biotech and PMSE Departments and of Rs.10 lakh or more in case of other departments.
  - (b) In case, even after sufficient efforts were made in obtaining the Sponsored Research Project and success could not be achieved, then four SCI/SCIE/SSCI Indexed quality research papers as sole (single) author in lieu of the sponsored research project(s) requirement. This provision of 5(b) will be for other Departments and not for Biotech and PMSE Departments.

- 6. (a) Average API score of 200 or above of the last three years at Assistant Professor (Sr. Grade) level.
  - (b) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

#### For Engineering & Technology and Management

#### Assistant Professor (Grade-I)

M.Tech/MBA or equivalent with first division and throughout good academic record.

#### 2. Assistant Professor (Grade-II)

(i) M.Tech/MBA or equivalent with first division and throughout good academic record + 2 years experience at University level.

or

- (ii) M.Tech/MBA or equivalent with first division and throughout good academic record + 1 year experience at University level and at least 2 years prior experience elsewhere.
- (iii) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

#### 3. Assistant Professor (Senior Grade)

- (i) Ph.D degree, with first division in preceding degree and throughout good academic record + at least 2 years post PhD experience at University level. Publication requirement is essential. Should be an active researcher and published at least 3 quality research papers.
- (ii) Average API score of 180 or above of the last two years at Assistant Professor (Grade-II).
- (iii) While considering candidates, the Vice-Chancellor may approve some relaxation in post Ph.D. experience for exceptionally good candidates.
- (iv) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

# For Sciences, Humanities & Social Science, Biotechnology, Pharmacy and For the Faculty in Management of Non MBA Stream

#### Assistant Professor (Grade-I)

Ph.D degree, with first division in preceding degree and throughout good academic record.

#### Assistant Professor (Grade-II)

- (i) Ph.D degree, with first division in preceding degree and throughout good academic record + 2 years teaching/ research experience at University level after PhD degree and after becoming Assistant Professor (Grade-I).
- (ii) Active researcher and published at least 2 quality research papers at Assistant Professor (Grade-I) level after PhD degree.
- (iii) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

#### Assistant Professor (Senior Grade)

- (i) Ph.D. degree, with first division in preceding degree and throughout good academic record.
- (ii) At least 4 years post PhD teaching/research experience at University level.
- (iii) Should be active researcher and published at least 4 quality research papers after PhD degree.
- (iv) Average API score of 180 or above of the last two years at Assistant Professor (Grade-II).
- (v) Report of the Head of Department on working, conduct, behaviour and contributions beyond normal teaching assignments in the Department/ Institute will be given due consideration.

Signature of the Employee

#### ANNUAL PERFORMANCE APPRAISAL

(For Grades NT-1 to NT-2)

Period of assessment (from 01/07/20\_\_ to 30/06/20\_\_)

#### PART I

1. Name of the institute	<b>:</b>	8. Total Experience	:
2. Name of Employee	:	9. (a) Last date of promotion	:
3. Date of Joining	:	if any	
4. Grade	:	(b) Last date of special	:
5. Pay (Excl. allowance	s):	increment if any	
		10. Qualifications :	
6. Experience outside	:	(a) Academic	:
the Institute		(b) Professional	
7. Experience within	:	(indicate year of passing)	
the Institute			
(Up to 30 <sup>th</sup> June of c	urrent		
Year)			

#### PART II

Self Appraisal by the Employee covering the role and performance not exceeding 1000 words. (Attach separate sheet if required)

# PART III (Assessment by the Initiating Officer)

Please award marks from 1 to 5 with 1 being minimum and 5 being maximum:

I. Poor	1. Poor	2. Average	3. Good	4.Very Good	5.Excellent
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# i. Personal Qualities

S.No	Attributes	Initiating Officer			
01	Physical Attributes (Appearance, Dress etiquettes,				
	Body Language etc.)				
02	Drive, Determination & Decisiveness				
03	Dependability				
05	Moral Courage				
06	Integrity				
07	Loyalty				
08	Ingenuity				
09	Maturity				
10	Tenacity				
11	Communication Skills				
Overa	Overall Performance rating				

#### ii. Demonstrative Performance

S.No	Attributes	Initiating Officer
01	Foresight and Planning	
02	Delegation and Responsibility	
03	Vision & Conceptual ability	
05	Tolerance for ambiguity	
06	Competency for handling higher responsibility	
07	Job Knowledge and skills	
08	Management ability (includes planning organizing, controlling ability and leadership)	
09	Application (Team Work, Training of subordinates, communication up/down, relation with colleagues)	
10	Demonstrated Performance in Achievement of	
	objective, innovation, initiative, cost control and	
	optimal utilization of resources	
Overa	ll Performance rating	

Description - Special qualities, traits, achievements for improvements Grading & Comments.	s, weaknesses and points
Recommendations / Review (Initiating Officer)	
recommendations / Review (miniating Officer)	
Signature Name Designation (Concerned Head / Director / VC/PC if the revithe VC/PC)	iewed officer is directly under
PART IV	
Remarks of the Vice Chancellor (In case not an initiating off	icer)
Date:	Signature(Name)
PART V	(Ivalie)
Final Remarks of the Pro-Chancellor (In case not an initiating	ng officer)
Date:	Signature
	(Name)

#### Instructions

- 1. Part I & II to be filled by the Employee
- 2. Part III to be filled by Initiating Officers and Reviewing Officers, as applicable.
- 3. All Reports shall be handled as confidential documents.
- 4. Recommendations for promotions in PART II, indicate 'Out of Turn', 'In Turn', 'Not Yet' or 'Not Recommended' as applicable

Signature of the Employee

# ANNUAL PERFORMANCE APPRAISAL

(For Grades NT-3 to NT-7)

Period of assessment (from 01/07/20\_\_ to 30/06/20\_\_)

#### PART I

1. Name of the Institute	<b>:</b>	8. Total Experience	:
2. Name of Employee	:	9. (a) Last date of promotion	ı :
3. Date of Joining	:	if any	
4. Grade	:	(b) Last date of special	:
5. Pay (Excl. allowances	s):	increment if any	
		10. Qualifications :	
6. Experience outside	:	(a) Academic	:
the Institute		(b) Professional	
7. Experience within	:	(indicate year of passing	g)
the Institute			
(Up to 30 <sup>th</sup> June of c	urrent		
Year)			

#### PART II

Self Appraisal by the Employee covering the role and performance not exceeding 500 words. .(Attach separate sheet if required)

# PART III (Assessment by the Initiating Officer)

Please award marks from 1 to 5 with 1 being minimum and 5 being maximum:

1. Poor 2. Average 3. Good 4. Very Good 5. Excellent	1. Poor	2. Average	3. Good	4.Very Good	5.Excellent
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# i. Personal Qualities

S.No	Attributes	Initiating Officer
01	Physical Attributes (Appearance, Dress etiquettes, Body Language etc.)	
02	Drive, Determination, Decisiveness & Dependability	
03	Integrity, Loyalty	
05	Ingenuity, Maturity	
06	Communication Skills	
Overa	ll Performance rating	

# ii. Demonstrative Performance

S.No	Attributes	<b>Initiating Officer</b>
01	Foresight and Planning	
02	Job Knowledge and skills	
03	Management ability (includes planning organizing, controlling ability and leadership)	
04	Application (Team Work, Training of subordinates, communication up/down, relation with colleagues)	
05	Demonstrated Performance in Achievement of objective, innovation, initiative, cost control and optimal utilization of resources	
06	Competency for handling higher responsibility	
Overa	ll Performance rating	

Initiating Officer	:	Reviewing Officer
<b>Description</b> - Special qualities traits,	:	
achievements, weaknesses and points for	:	
improvements	:	

Recommendations	:
(a) For promotion in Routine	:
(b) For out of turn promotion	:
(c) Additional increments only	:
(in case (b) is not applicable)	÷
Note: between (a) and (b) one to be filled and between (b) & (c) one to be fill	
Signature of Initiating Officer	:
Name	:
Designation	:
Date:	Signature
	Signature (Name)
PART V – Remarks by the VC	
PART V - Remarks by the VC  Date:	
	(Name) Signature (Name)
Date:	(Name) Signature (Name)
Date:	(Name) Signature (Name)

- 1. Part I & II to be filled by the Employee
- 2. Part III and IV to be filled by Initiating Officers and Reviewing Officers.
- 3. All Reports shall be handled as confidential documents.
- 4. Recommendations for promotions in PART III, indicate 'Out of Turn',' In Turn', 'Not Yet' or 'Not Recommended' as applicable.

# **PERFORMANCE APPRAISAL**

(For NT-8 Grade)

# Note:

For employees in NT-8 category no appraisal forms need to be filled. However, the initiating officer must submit a one page note listing the overall performance in the designated trade / Job and recommendations if any.

Period o	of assessment	(from 01 July 20	)_ to 30 June 20_)
Name Designation Grade Department Date of Joining Emp. Code	: : NT-8 : :		
The overall perfo	ormance in the	designated trade / J	ob and recommendations
Name and Signat	ture of Initiatin	g Officer	
Name and Signat	ture of Reviewi	ng Officer	
Remarks of Vice	Chancellor		Signature of VC
Remarks of Pro-0	Chancellor		Signature of PC